



*Handwritten signature/initials*

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Markus **Bischof** et al.

Confirmation No.: **5473**

Appln. No.: **10/562,234**

Filed: **12/21/2005**

For: **METHOD FOR TRIGGERING RADICAL POLYMERIZATION REACTIONS**

Attorney Docket No.: **3926.222**

Customer No.: **30448**

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 20, 2006.

*Yonghong Chen*  
Yonghong Chen

Reg. No. 56,150

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97 and §1.98**

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Forms PTO/SB/08A & PTO/SB/08B, copy of the International Search Report (ISR) and a German Examination Report dated March 3, 2004 are provided herewith.

1. EP 0 928 296 B1 (in English)
2. US 4,511,596
3. ISHII ET AL.: "Catalytic Oxyalkylation of Alkenes with Alkanes and Molecular Oxygen via a Radical Process Using N-Hydroxyphthalimide," J. Org. Chem, 2001, pages 6425-31, Vol. 66, American Chemical Society, USA (in English)

4. WO 00/39209 A1 (in English)
5. DE 697 08 896 T2 (has English-equivalent application EP 0 928 296 B1 listed as Document 1)

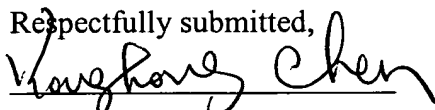
Documents 1-2 are cited in the ISR. Documents 2-5 are cited in the German Examination Report dated March 3, 2004.

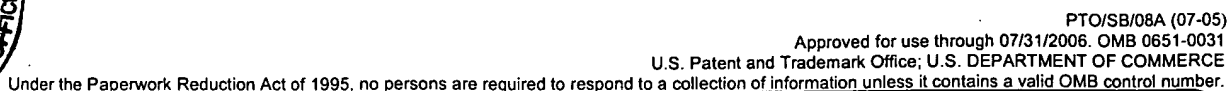
The present Information Disclosure Statement is being filed within three months of the filing date of the instant application or before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Forms PTO/SB/08A & PTO/SB/08B be returned in accordance with MPEP §609.

**Date: September 20, 2006**

Respectfully submitted,  
  
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*(Use as many sheets as necessary)*

Sheet	1	of	1
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Application Number	10/562,234
Filing Date	12/21/2005
First Named Inventor	Markus Bischof
Art Unit	
Examiner Name	
Attorney Docket Number	3926.222

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		EP 0 928 296 B1	05-12-2001	Akzo Nobel N.V.		
		WO 00/39209 A1	07-06-2000	CIBA SPECIALTY CHEMICALS HOLDING INC.		
		DE 697 08 896 T2	06-20-2002	Akzo Nobel N.V.		

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/562,234
		Filing Date	12/21/2005
		First Named Inventor	Markus Bischof
		Art Unit	
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number	3926.222

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ISHII ET AL.: "Catalytic Oxyalkylation of Alkenes with Alkanes and Molecular Oxygen via a Radical Process Using N-Hydroxyphthalimide," J. Org. Chem, 2001, pages 6425-31, Vol. 66, American Chemical Society, USA	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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